FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| STATEMENT | OF CHANG | SES IN BENEF | FICIAL OWN | NERSHIP |
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|   | OMB Number:           | 3235-0287 |  |  |  |  |  |  |  |
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  Rossi Michael A.   |  |      |                                 | 2. Issuer Name and Ticker or Trading Symbol HARVARD BIOSCIENCE INC [ HBIO ]          |         |  |     |   |                     |             |   |  |                           | Officer (give title Other  |  | ng Person(s) to Is 10% Ov   |   | wner  |  |  |
|--|--|------|---------------------------------|--|---------|--|-----|---|---------------------|-------------|---|--|---------------------------|--|--|---|---|---|--|--|
| (Last) (First) (Middle) C/O HARVARD BIOSCIENCE, INC. 84 OCTOBER HILL ROAD  |  |      |                                 | 3. Date of Earliest Transaction (Month/Day/Year) 12/29/2022                          |         |  |     |   |                     |             |   |  | Λ                         |  |  | below)<br>Officer   |   |   |  |  |
| (Street) HOLLIS (City)   | TON M  | [A 0 | 1746<br>Zip)                    |  | 4. If A | 4. If Amendment, Date of Original Filed (Month/Day/Year)       |     |   |                     |             |   |  |                           | . Indiv<br>ine)<br>X   | Form   | al or Joint/Group Filing (Check Applicable orm filed by One Reporting Person orm filed by More than One Reporting erson |   |   |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |  |      |                                 |  |         |  |     |   |                     |             |   |  |                           |  |  |   |   |   |  |  |
| Date   |  |      | 2. Transac<br>Date<br>(Month/Da | ay/Year) Execu   |         | Deemed<br>cution Date,<br>ny<br>onth/Day/Year)                 |     | 3.<br>Transaction Dispose<br>Code (Instr. 8)  |                     | Disposed C  | ies Acquired (A) o<br>Of (D) (Instr. 3, 4 |  | and Securitie<br>Benefici |  | ies Fo<br>cially (D<br>Following (I)                               |   | vnership<br>n: Direct<br>r Indirect<br>nstr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
|  |  |      |                                 |  |         |  |     | Code  | v                   | Amount      | (A) c                                     | Price  | •                         | Transac<br>(Instr. 3   | ction(s)   |   |   | (11150.4)   |  |  |
| Common Stock   |  |      | 12/29/                          | 2022   |         |  |     | F   |                     | 3,162(1)    | ) D \$                                    |  | .55                       | 5 267,338(2)   |  |   | D   |   |  |  |
| Common Stock 12/2  |  |      |                                 | 12/29/   | 2022    |  |     | F   |                     | 3,763(3)    | 3,763 <sup>(3)</sup> D                    |  | 263,575(4)                |  | ,575(4)  |   | D   |   |  |  |
| Common Stock 12/   |  |      | 12/29/                          | 2022   |         |  |     | F   |                     | 3,035(5)    | D   | \$2  | \$2.55                    |  | 260,540(6)   |   | D   |   |  |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |      |                                 |  |         |  |     |   |                     |             |   |  |                           |  |  |   |   |   |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | vative Conversion Date Execution Date, Tran<br>urity or Exercise (Month/Day/Year) if any Cod |      | Transa<br>Code (                | saction of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |         | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Inst<br>3 and 4) |                     | Deri<br>Sec | rice of<br>ivative<br>urity<br>tr. 5)     | 9. Number<br>derivative<br>Securities<br>Beneficiall<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | у                         | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |   |   |  |  |
|  |  |      |                                 |  | Code V  |  | (A) | (D)   | Date<br>Exercisable |             | Expiration<br>Date                        | Amount or Number of Shares   |                           |  |  |   |   |   |  |  |

## Explanation of Responses:

- 1. The shares were disposed of to satisfy the Reporting Person's tax withholding obligation in connection with a restricted stock unit award previously granted to the Reporting Person that vested in part on December 29, 2022.
- 2. Include (a) 20,681 restricted stock units that will vest in two equal installments on December 29, 2023 and 2024, (b) 21,545 restricted stock units that will vest on June 11, 2023, (c) 12,821 restricted stock units that will vest on December 29, 2023, (d) 65,592 restricted stock units with performance based vesting conditions, (e) 10,772 restricted stock units that will vest on December 29, 2023, and (f) 135,927 shares of common stock beneficially owned by the Reporting Person.
- 3. The shares were disposed of to satisfy the Reporting Person's tax withholding obligation in connection with a restricted stock unit award previously granted to the Reporting Person that vested in part on December 29, 2022
- 4. Includes (a) the shares and awards described in footnote (2) above, less (b) the disposition of shares reported in footnote (3) above.
- 5. The shares were disposed of to satisfy the Reporting Person's tax withholding obligation in connection with a performance restricted stock unit award previously granted to the Reporting Person that vested in part on December 29, 2022.
- 6. Includes (a) the shares and awards described in footnote (2) above, less (b) the disposition of shares reported in footnotes (3) and (5) above.

## Remarks:

The Reporting Person resigned as Chief Financial Officer effective December 31, 2022.

/s/ David Sirois, by power of 01/03/2023 attorney

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.