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Conflict Minerals Reporting Template (CMRT)

English

Revision 6.1
April 28, 2021

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

[Link to Terms & Conditions](#)

Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.

Company Information

Company Name (*):	Harvard Bioscience
Declaration Scope or Class (*):	A. Company
Description of Scope:	
Company Unique ID:	
Company Unique ID Authority:	
Address:	
Contact Name (*):	Mark Williams
Email - Contact (*):	mwilliams@harvardbioscience.com
Phone - Contact (*):	+1 508-893-8999
Authorizer (*):	Mark Davis
Title - Authorizer:	
Email - Authorizer (*):	mdavis@harvardapparatus.com
Phone - Authorizer:	
Effective Date (*):	07-May-2021

Answer the following questions 1 - 8 based on the declaration scope indicated above

Question	Answer	Comments
1) Is any 3TG intentionally added or used in the product(s) or in the production process? (*)		
Tantalum (*)	Yes	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	
2) Does any 3TG remain in the product(s)? (*)		
Tantalum (*)	Yes	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	
3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (*)		
Tantalum (*)	Yes	Data collected through supply chain information shared via upstream audit programs was used to support source of origin determinations. All respective smelters are conformant to RMI's
Tin (*)	Yes	Data collected through supply chain information shared via upstream audit programs was used to support source of origin determinations. All respective smelters are conformant to RMI's
Gold (*)	Yes	Data collected through supply chain information shared via upstream audit programs was used to support source of origin determinations. All respective smelters are conformant to RMI's
Tungsten (*)	Yes	Data collected through supply chain information shared via upstream audit programs was used to support source of origin determinations. All respective smelters are conformant to RMI's
4) Do any of the smelters in your supply chain source the 3TG from conflict-affected and high-risk areas? (*)		
Tantalum (*)	No	Data collected through supply chain information shared via upstream audit programs was used to support source of origin determinations. All respective smelters are conformant to RMI's
Tin (*)	No	Data collected through supply chain information shared via upstream audit programs was used to support source of origin determinations. All respective smelters are conformant to RMI's
Gold (*)	No	Data collected through supply chain information shared via upstream audit programs was used to support source of origin determinations. All respective smelters are conformant to RMI's
Tungsten (*)	No	Data collected through supply chain information shared via upstream audit programs was used to support source of origin determinations. All respective smelters are conformant to RMI's
5) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (*)		
Tantalum (*)	No	
Tin (*)	No	
Gold (*)	No	
Tungsten (*)	No	
6) What percentage of relevant suppliers have provided a response to your supply chain survey? (*)		
Tantalum (*)	50% or less	
Tin (*)	50% or less	
Gold (*)	50% or less	
Tungsten (*)	50% or less	



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7) Have you identified all of the smelters supplying the 3TG to your supply chain? (*)

	Answer	Comments
Tantalum (*)	No	The entries that appear on the completed CMRT Smelter List tab are the result of Assent's Smelter Validation Process. The list only displays those facilities that are verified to be legitimate smelters. The entries that appear on the completed CMRT Smelter List tab are the result of Assent's Smelter Validation Process. The list only displays those facilities that are verified to be legitimate smelters. The entries that appear on the completed CMRT Smelter List tab are the result of Assent's Smelter Validation Process. The list only displays those facilities that are verified to be legitimate smelters. The entries that appear on the completed CMRT Smelter List tab are the result of Assent's Smelter Validation Process. The list only displays those facilities that are verified to be legitimate smelters.
Tin (*)	No	
Gold (*)	No	
Tungsten (*)	No	

8) Has all applicable smelter information received by your company been reported in this declaration? (*)

Tantalum (*)	Yes	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	

Answer the Following Questions at a Company Level

Question	Answer	Comments
A. Have you established a responsible minerals sourcing policy? (*)	Yes	
B. Is your responsible minerals sourcing policy publicly available on your website? (Note - If yes, the user shall specify the URL in the comment field.) (*)	Yes	https://investor.harvardbioscience.com/corporate-governance
C. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program? (*)	Yes	
D. Have you implemented due diligence measures for responsible sourcing? (*)	Yes	
E. Does your company conduct Conflict Minerals survey(s) of your relevant supplier(s)? (*)	Yes, in conformance with IPC-1755 (e.g.,	
F. Do you review due diligence information received from your suppliers against your company's expectations? (*)	Yes	
G. Does your review process include corrective action management? (*)	No	
H. Is your company required to file an annual conflict minerals disclosure? (*)	Yes, with the SEC	