SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| 0 | Check this box if no longer subject to |
|---|--|
| | Section 16. Form 4 or Form 5 |
| | bligations may continue. See |
| | nstruction 1(b). |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

| 1. Name and Address DISHMAN RC | 1 0 | *] | 2. Issuer Name and Ticker or Trading Symbol HARVARD BIOSCIENCE INC [HBIO] | | tionship of Reporting Perso all applicable) Director | on(s) to Issuer 10% Owner Other (specify below) | | |
|-----------------------------------|--------------------|----------|---|---|--|--|--|--|
| (Last) 84 OCTOBER HI | (First) LL ROAD | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 05/31/2013 | | Officer (give title below) | | | |
| | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | |
| (Street) HOLLISTON | MA | 01746 | | X | Form filed by One Report Form filed by More than (| 0 | | |
| (City) | (State) | (Zip) | | | Person | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. | | 4. Securities A Disposed Of (5) | | 3, 4 and | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--|---|-----------------------------|---|--|---------------|-------------------|---|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (mour 4) |
| Common Stock | 05/31/2013 | | Α | | 5,906 ⁽¹⁾ | Α | \$ <mark>0</mark> | 14 , 309 ⁽²⁾ | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of | | 6. Date Exerc Expiration Da (Month/Day/N | ate | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|--|---|------------------------------|---|--------|-----|--|--------------------|---|--|---|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Stock option (right to buy) | \$5.08 | 05/31/2013 | | A | | 11,400 | | (3) | 05/31/2023 | Common Stock, par value \$0.01 per share | 11,400 | \$0 | 11,400 | D | |

Explanation of Responses:

1. Includes a deferred stock award of 5,906 restricted stock units which shall fully vest on May 31, 2014.

2. Includes (a) a deferred stock award of 5,906 restricted stock units which shall fully vest on May 31, 2014 and (b) a deferred stock award of 8,403 restricted stock units which vest in equal installments on June 1, 2013, June 1, 2014, and June 1, 2015.

3. The options shall fully vest on May 31, 2014.

Remarks:

This form has been signed under power of attorney.

Thomas McNaughton

** Signature of Reporting Person

06/04/2013

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date