SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

-	-						
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Instruction 1(b).			Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934	4			
			or Section 30(h) of the Investment Company Act of 1940				
1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol HARVARD BIOSCIENCE INC [HBIO]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
Eade Kather	<u>ine A.</u>			X Director 10% Owner			
(Last) C/O HARVARI	(First) D BIOSCIEN	(Middle) ICE, INC.	3. Date of Earliest Transaction (Month/Day/Year) 12/28/2021	Officer (give title Other (specify below) below)			
84 OCTOBER HILL RD.			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicat			
(Street) HOLLISTON (City)	MA (State)	01746 (Zip)		Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
		Table I - Non-De	erivative Securities Acquired, Disposed of, or Bene	ficially Owned			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3.		4. Securities Disposed Of	Acquired	I (A) or	5. Amount of Securities Beneficially Owned Following	(I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	12/28/2021		A		1,401(1)	A	\$0.00	198,916 ⁽²⁾	D	

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		Ta	ble II - Derivat (e.g., pı					ired, Disp options, d					t		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Disp of (D (Inst	5. Number 6. Date Exercisable and		ble and 7. Title and Amount of		8. Price of 9. Nui Derivative deriva Security Security (Instr. 5) Benef Owne Follow Repoi Trans	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents an award of 1,401 restricted stock units that fully vest on December 31, 2021.

2. Includes (a) the award described in footnote (1) above, (b) an award of 14,400 restricted stock units that fully vest on the earlier to occur of (i) the date of the Issuer's next Annual Meeting of Stockholders after May 18, 2021, immediately prior to the commencement of such meeting, and (ii) May 25, 2022, (c) an award of 3,550 remaining unvested restricted stock units that fully vest on December 29, 2021, and (d) 179,565 shares of common stock held by the Reporting Person.

<u>/s/ David Sirois, by power of</u>	12/29/2021
<u>attorney</u>	12/29/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See